

143

**STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27 (d))  
NONPROFIT ORGANIZATION**

Attorney Docket	06510/118US1
First Named Inventor	Mark Akeson
Application Number	09/466,994
Filing Date	December 10, 1999
Title	<i>Targeted Molecular Bar Codes...</i>

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: The Regents of the University of California  
 ADDRESS OF NONPROFIT ORGANIZATION: 1111 Franklin Street, 12<sup>th</sup> Floor  
Oakland, CA 94607-5200

Type of Nonprofit Organization:

University or Other Institution of Higher Education

Tax Exempt under Internal Revenue Service Code 26 USC 501(a) and 501(c)(3)

Nonprofit Scientific or Educational under Statute of State of the United States of America

(Name of State: \_\_\_\_\_)

(Citation of Statute: \_\_\_\_\_)

Would Qualify as Tax Exempt under Internal Revenue Service Code 26 USC 501(a) and 501(c)(3) -- if located in the United States of America

Would Qualify as Nonprofit Scientific or Educational under Statute of State of the United States of America -- if located in the United States of America

(Name of State: \_\_\_\_\_)

(Citation of Statute: \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in: *Targeted Molecular Bar Codes and Methods for Using the Same*

the specification filed herewith with title as listed above.

the application identified above.

the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above-identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or by a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

no such person, concern, or organization exists.

each such person, concern, or organization is listed below.

NAME: N/A  
 ADDRESS: \_\_\_\_\_

Individual

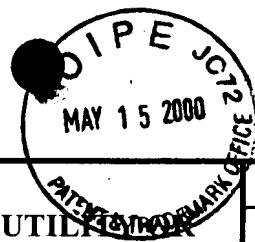
Small Business Concern

Nonprofit Organization

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

SIGNATURE Linda S. Stevenson DATE: 5/9/00  
 NAME OF PERSON SIGNING: Linda S. Stevenson  
 TITLE IN ORGANIZATION OF PERSON SIGNING: Principle Prosecution Analyst  
 ADDRESS OF PERSON SIGNING: 1111 Franklin Street, 5<sup>th</sup> Floor, Oakland, CA 94607-5200



113  
Atty Dkt No. 06510/118US1

**DECLARATION FOR UTILIZATION TRADE  
DESIGN PATENT APPLICATION  
(37 CFR 1.63)**

— Declaration Submitted with Initial Filing      OR       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.10(c)) required)

Attorney Docket Number	06510/118US1
First Named Inventor	Mark Akeson
Application Number	09/466,994
Filing Date	December 10, 1999
Group Art Unit	1643
Examiner Name	N/A

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: *Targeted Molecular Bar Codes and Methods for Using the Same*

the specification of which:

is attached hereto  
 OR  
 was filed on December 10, 1999 as United States Application Number or PCT International Application Number 09/466,994 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined by 37 CFR 1.56.

Insofar as the subject matter of each of the claims of this application are not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designating at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/111,802 60/158,020	12/11/98 10/06/99

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application(s) designating the United States of America, listed below.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

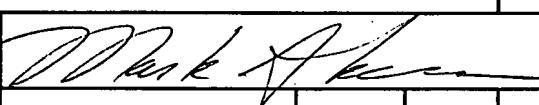
Name	Registration Number	Name	Registration Number
Karl Bozicevic Carol L. Francis Dianna L. DeVore	28,807 36,513 42,484	Bret E. Field Pamela J. Sherwood Paula A. Borden	37,620 36,677 42,344

**DIRECT ALL CORRESPONDENCE TO:**

Name	Bret Field				
Address	BOZICEVIC, FIELD & FRANCIS LLP				
Address	285 Hamilton Avenue, Suite 200				
City, State, Zip	Palo Alto, California 94301				
Country	U.S.A.	Telephone	650-327-3400	Facsimile	650-327-3231

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor:**

Given Name (first and middle [if any])		Family Name or Surname				
Mark		Akeson				
Inventor's Signature						Date 17 April 2000
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship
Post Office Address	209 Applied Sciences Dept. of Chemistry					
Post Office Address	The University of California					
City	Santa Cruz	State	CA	Zip	95064	Country
						USA

Name of Second Inventor:							
Given Name (first and middle [if any])					Family Name or Surname		
David W.		Deamer					
Inventor's Signature	<i>David Deamer</i>						Date 4/14/80
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	375 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

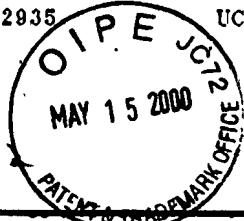
Name of Third Inventor:							
Given Name (first and middle [if any])					Family Name or Surname		
Wenonah		Vercoutere					
Inventor's Signature							Date
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	209 Applied Sciences						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Name of Fourth Inventor:							
Given Name (first and middle [if any])					Family Name or Surname		
Hugh E.		Olsen					
Inventor's Signature							Date
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	209 Applied Sciences						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Name of Second Inventor:								
Given Name (first and middle [if any])					Family Name or Surname			
David W.					Deamer			
Inventor's Signature							Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	375 Thimann							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	

Name of Third Inventor:								
Given Name (first and middle [if any])					Family Name or Surname			
Wenonah					Vercoutere			
Inventor's Signature	<i>Wenonah Vercoutere</i>						Date 4.29.2000	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	209 Applied Sciences							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	

Name of Fourth Inventor:								
Given Name (first and middle [if any])					Family Name or Surname			
Hugh E.					Olsen			
Inventor's Signature							Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	209 Applied Sciences							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	



Atty Dkt No. 06510/118US1

## Name of Second Inventor:

Given Name (first and middle [if any])		Family Name or Surname						
David W.		Deamer						
Inventor=s Signature							Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	375 Thimann							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	

## Name of Third Inventor:

Given Name (first and middle [if any])		Family Name or Surname						
Wenonah		Vancouver						
Inventor=s Signature							Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	209 Applied Sciences							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	

## Name of Fourth Inventor:

Given Name (first and middle [if any])		Family Name or Surname						
Hugh E.		Olsen						
Inventor=s Signature	<i>Hugh E. Olsen</i>						Date 5-1-2000	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA	
Post Office Address	209 Applied Sciences							
Post Office Address	The University of California							
City	Santa Cruz	State	CA	Zip	95064	Country	USA	



Atty Dkt No. 06510/118US1

## Name of Fifth Inventor:

Given Name (first and middle [if any])		Family Name or Surname				
Rebecca		Braslau				
Inventor's Signature						Date 5-8-00
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship
Post Office Address	337 Thimann					
Post Office Address	The University of California					
City	Santa Cruz	State	CA	Zip	95064	Country
						USA

## Name of Sixth Inventor:

Given Name (first and middle [if any])		Family Name or Surname				
Bakthan		Singaram				
Inventor's Signature						Date
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship
Post Office Address	333 Thimann					
Post Office Address	The University of California					
City	Santa Cruz	State	CA	Zip	95064	Country
						USA

## Name of Seventh Inventor:

Given Name (first and middle [if any])		Family Name or Surname				
Derek		Steiner				
Inventor's Signature						Date
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship
Post Office Address	325 Thimann					
Post Office Address	The University of California					
City	Santa Cruz	State	CA	Zip	95064	Country
						USA



Atty Dkt No. 06510/118US1

Name of Fifth Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
Rebecca		Braslau					
Inventor's Signature						Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	337 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Name of Sixth Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
Bakthan		Singaram					
Inventor's Signature	<i>Bakthan Singaram</i>					Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	INDIA
Post Office Address	333 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Name of Seventh Inventor:							
Given Name (first and middle [if any])			Family Name or Surname				
Derek		Steiner					
Inventor's Signature						Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	325 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Atty Dkt No. 06510/118US1

## Name of Fifth Inventor:

Given Name (first and middle [if any])		Family Name or Surname					
Rebecca		Braslaw					
Inventor's Signature						Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	337 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

## Name of Sixth Inventor:

Given Name (first and middle [if any])		Family Name or Surname					
Bakthan		Singaram					
Inventor's Signature						Date	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	333 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

## Name of Seventh Inventor:

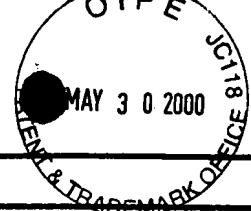
Given Name (first and middle [if any])		Family Name or Surname					
Derek		Steiner					
Inventor's Signature						Date	5/8/00
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	325 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

Atty Dkt No. 06510/118US1

<b>Name of Eighth Inventor:</b>							
Given Name (first and middle [if any])							
Frank							
Family Name or Surname							
Cappuccio							
Inventor's Signature					Date	5-8-00	
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	325 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

F:\DOCUMENT\6510 - UCAL\118US1\Dec. & Pos.wpd

~~ORIGINAL to:~~  
✓ Docketing  
~~COPIES to:~~  
                          
Secretary/Attorney  
✓ Foreign Filing  
✓ *aviv Field & Francis*



Atty Dkt No. 06510/118US1

<b>Name of Eighth Inventor:</b>							
Given Name (first and middle [if any])				Family Name or Surname			
Frank				Cappuccio			
Inventor's Signature					Date		
Residence: City	Santa Cruz	State	CA	Country	USA	Citizenship	USA
Post Office Address	325 Thimann						
Post Office Address	The University of California						
City	Santa Cruz	State	CA	Zip	95064	Country	USA

F:\DOCUMENT\6510 - UCAL\118US1\Dec. & Poa.wpd